

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Patent of:

Slaughaupt et al.

Patent No.: 7,112,269

Issued: September 26, 2006

Confirmation No.: 6966

Atty. File No.: 02-3247

For: "MEASURING DUCT OFFGAS
TEMPERATURES TO IMPROVE
ELECTROLYTIC CELL ENERGY
EFFICIENCY"

**PETITION FOR CORRECTION OF
INVENTORSHIP IN A PATENT
UNDER 37 C.F.R. § 1.324**

It is hereby certified that this correspondence is being transmitted to the United States Patent and Trademark Office via the USPTO electronic filing system no later than 11:59 PM local time on March 16, 2007.

Signed: 

Name: Travis Clair

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.324, the Director is hereby petitioned to issue a certificate of correction to correct the inventorship of the above-identified patent, whereby Mr. Jay Bruggeman is deleted as an inventors, and whereby Mr. Gary Tarcy and Mr. Neal Dando are added as inventors. In this regard, attached please find:

- (a) statements under 37 C.F.R. § 1.324(b)(1) from each person being added as an inventor that the inventorship error occurred without deceptive intention on his part;
- (b) statements under 37 C.F.R. § 1.324(b)(2) from all currently named inventors agreeing to the change of inventorship; and
- (c) a statement under 37 C.F.R. § 1,324(b)(3) from the current assignee of the entire right, title and interest in the above-referenced patent and a corresponding statement under 37 C.F.R. § 3.73(b).

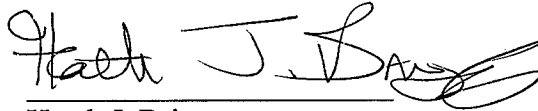
The Director is authorized to charge the processing fee of \$130 under 37 C.F.R. § 1.20(b) to Deposit Account No. 01-1000. It is not believed that any other fees are due in connection with

this petition. However, any other necessary fees may be charged to Deposit Account No. 01-1000.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Date: March 16, 2007

A handwritten signature in black ink, appearing to read "Heath J. Briggs", with a stylized flourish at the end.

Heath J. Briggs
Registration No. 54,919
1200 17th Street, Suite 2400
Denver, CO 80202
Phone: (303) 572-6500; Fax: (303) 572-6540

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EFFICIENCY"Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Gary Paul Tarcy, hereby state:

The inventorship error of failing to include Gary Paul Tarcy (me) as an inventor of the above-referenced patent occurred without any deceptive intent on the part of Gary Paul Tarcy.

12/15/06
DateGary Paul Tarcy
Gary Paul TarcySTATEMENT OF ADDED INVENTOR
UNDER 37 C.F.R. § 1.324(b)(1)

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EFFICIENCY"

STATEMENT OF ADDED INVENTOR
UNDER 37 C.F.R. § 1.324(b)(1)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Neal Richard Dando, hereby state:

The inventorship error of failing to include Neal Richard Dando (me) as an inventor of the above-referenced patent occurred without any deceptive intent on the part of Neal Richard Dando.

12/12/06
Date

Neal Richard Dando
Neal Richard Dando

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Michael Lewis Slaughaupt, am a named inventor in the above-referenced patent. In accordance with 37 C.F.R. § 1.324(b)(2), I hereby declare that I agree to the correction of inventorship for the above-referenced patent, wherein Jay N. Bruggeman is deleted as an inventor, and wherein Gary Paul Tarcy and Neal Richard Dando are added as an inventors.

12/13/2006

Date

Michael Lewis Slaughaupt

Michael Lewis Slaughaupt

STATEMENT OF CURRENT
INVENTOR UNDER 37 C.F.R. §
1.324(b)(2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For: "MEASURING DUCT OFFGAS
TEMPERATURES TO IMPROVE
ELECTROLYTIC CELL ENERGY
EFFICIENCY"STATEMENT OF CURRENT
INVENTOR UNDER 37 C.F.R. §
1.324(b)(2)Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Jay N. Bruggeman, am a named inventor in the above-referenced patent. In accordance with 37 C.F.R. § 1.324(b)(2), I hereby declare that I agree to the correction of inventorship for the above-referenced patent, wherein I, Jay N. Bruggeman, am deleted as an inventor, and wherein Gary Paul Tarcy and Neal Richard Dando are added as an inventors.

1/9/07
DateJay N. Bruggeman
Jay N. Bruggeman

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For: “MEASURING DUCT OFFGAS TEMPERATURES TO IMPROVE ELECTROLYTIC CELL ENERGY EFFICIENCY”

STATEMENT OF ASSIGNEE UNDER 37
C.F.R. § 1.324(b)(3)


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I, Thomas R. Trempus, Special Counsel for Alcoa Inc., hereby declare that Alcoa Inc. is the assignee of the entire right, title and interest for the above-identified U.S. Patent as evidenced by the attached Statement Under 37 C.F.R. § 3.73(b). In accordance with 37 C.F.R. § 1.324(b)(3), Alcoa Inc. hereby declares that it agrees to the change of inventorship for the above-referenced patent whereby Jay N. Bruggeman is deleted as an inventor and Gary Paul Tarcy and Neal Richard Dando are added as an inventors.

ALCOA INC.

14 Feb 2007
Date


Thomas R. Trempus
Senior Counsel

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: ALCOA INC.Application No./Patent No.: 7,112,269 Filed/Issue Date: September 26, 2006Entitled: MEASURING DUCT OFFGAS TEMPERATURES TO IMPROVE ELECTROLYTIC CELL ENERGY EFFICIENCY

ALCOA INC. a CORPORATION
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014423, Frame 0465, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:


1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



 Signature
 THOMAS R. TREMPUS

 Printed or Typed Name
 SENIOR
 SPECIAL COUNSEL

 Title

12 FEB 2007

 Date

 Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.